

IN THE UNITED STATES DISTRICT COURT FOR THE  
MIDDLE DISTRICT OF ALABAMA  
NORTHERN DIVISION

TROY E. TILLERSON,	)	
	)	
Plaintiff,	)	
	)	
v.	)	Civil Action No.:
	)	3:05CV985-B
THE MEGA LIFE AND HEALTH	)	
INSURANCE CORPORATION, et al.	)	
	)	
Defendants.	)	

**AMENDED RE-NOTICE OF DEPOSITION OF TRANSAMERICA LIFE  
INSURANCE COMPANY**

To: James W. Lampkin, II  
Pamela A. Moore  
Alford, Clausen & McDonald  
One St. Louis Centre, Suite 5000  
Mobile, Alabama 36602

Please take notice that, pursuant to Federal Rules of Civil Procedure 30 (b) (5) and (6), Plaintiff will take the deposition of **TRANSAMERICA LIFE INSURANCE COMPANY** (hereinafter referred to as “this defendant”, “the defendant” or “said corporation”), upon oral examination before an officer authorized to administer oaths.

The matters on which examination is requested are:

1. Testimony and documents evidencing or relating to each person, including, but not limited to, current or former MEGA, Transamerica or NASE employees or agents who has first-hand knowledge of the facts and circumstances of the matters referred to in the Plaintiff's complaint, and give a brief summary of each such individual's personal knowledge.
2. Testimony and documents evidencing or relating to the relationship between Mega Life, Transamerica, PFL Life Insurance Company and NASE?
3. Testimony and documents evidencing or relating to whether or not there have been, or are now, within the last 10 years, lawsuits pending against this

defendant or its agent(s), claiming injury or damage due to breach of contract, breach of fiduciary duty, fraudulent, wanton or intentional misrepresentation, fraud in the inducement, unethical business practices, and any other negligent or wanton conduct involved in this defendant's instructional programs for insurance agents. If so, for each such lawsuit state the date of the filing of each such lawsuit; the court in which such lawsuit was filed; the action or court number of each such lawsuit; the name and addresses of all parties, including plaintiff and defendant to each such lawsuit; and the disposition of each such lawsuit.

4. Testimony and documents evidencing or relating to the total amount of monies paid in claims in the State of Alabama in the last five years?
5. Testimony and documents evidencing or relating to all policies and policy types sold by any of the defendants pertaining to major medical group health insurance from 1995 to the present.
6. Testimony and documents evidencing or relating to how you based your premiums with regard to any individual and explain in full detail the process you and/or your company went through to decided the amount of the premiums and the deductibles for each.
7. Testimony and documents evidencing or relating to how you calculated the value of premium payments for the Plaintiff's insurance.
8. Testimony and documents evidencing or relating to the explanation of each and every increase in premium payments for the Plaintiff's insurance and for each increase, please attach any supporting documentation, including, but not limited to, correspondence, email, notes, memoranda, inter-office memoranda.
9. Testimony and documents evidencing or relating to ach individual you expect to call as an expert witness at the trial of this case and further state the subject on which each such expert is expected to testify. For each such expert, please provide the following:
  - (a) a current curriculum vitae.
  - (b) A complete bibliography of all papers, reports, books, studies, articles or publications of any kind, written by or partially by, your expert and for each writing: the title; the name and address of the publisher; the date of publication; and, if published in or as an article, chapter or other part of a magazine, pamphlet, book or other publication, the name of each and the name and address of its publisher.
  - (c) Originals or authentic copies of all standards, regulations, papers, articles, reports, records, studies, analysis, charts, data

compilations and other documents and writings which are or will be reviewed, studied, written or prepared or partially written or prepared by the expert and which pertain or relate to incidents made the basis of plaintiff's complaint.

10. Testimony and documents evidencing or relating to how the formula for the benefits vary by state and how and why said are not available in all states.
11. Testimony and documents evidencing or relating to how the "group rates" were calculated for the group health insurance coverage and the formula of how you came to determine the amount of the premiums for each member of the Plaintiff's group.
12. Testimony and documents evidencing or relating to the number of certificate holders in Plaintiff's "group".
13. Testimony and documents evidencing or relating to what options were given or made available to the Plaintiff to lower premiums, and please attach a copy of said options to your answers.
14. Testimony and documents evidencing or relating to the identity of each and every witness that you or your attorneys may call as a witness in the trial of this case and state the substance of their anticipated testimony.
15. Testimony and documents evidencing or relating to a full and detailed description of all benefit levels, along with their plans and how said benefit levels would effect any out-of-pocket maximums relating to Plaintiff's health coverage.
16. Testimony and documents evidencing or relating to any and all Certificates of Insurance and application documentations for Plaintiff's group health insurance coverage for the last 10 years.
17. Testimony and documents evidencing or relating to any and all specimen Certificates of Insurance and/or application documentations for the type of "group" health insurance coverage issued to Plaintiff. This request should include all specimen documents/forms over the past 10 years.
18. Testimony and documents evidencing or relating to any and all NASE membership documentation issued to, sent to, received from or regarding Plaintiff.
19. Testimony and documents evidencing or relating to any and all underwriting documentation for the type of group health insurance coverage issued to Plaintiff over the last 10 years.

20. Testimony and documents evidencing or relating to any and all claims documentation for the Plaintiff's group health insurance coverage.
21. Testimony and documents evidencing or relating to any and all notes, correspondence, memoranda, inter-office memoranda and/or any other documentation regarding documents relating to Plaintiff's insurance benefits.
22. Testimony and documents evidencing or relating to any and all correspondence related to premiums, change of PPO Plans, claims and bank changes with regard to the plaintiff's insurance for the last 10 years.
23. Testimony and documents evidencing or relating to any and all computer printouts related to premium data, coverage and claims with regard to Plaintiff's insurance certificate(s).
24. Testimony and documents evidencing or relating to any and all returned items, and documents relating to premium payments or non-payments of premiums with regard to the plaintiff.
25. Testimony and documents evidencing or relating to any and all applications for any kind of insurance pertaining to the plaintiff with regard to Mega Life and The Health Insurance Corporation, Transamerica Life Insurance Company f/k/a PFL Insurance Company f/k/a PFL Life Insurance Company; Nation Association for the Self Employed a/k/a NASE.
26. Testimony and documents evidencing or relating to any and all policies of any kinds whatsoever from Mega Life and The Health Insurance Corporation, Transamerica Life Insurance Company f/k/a PFL Insurance Company f/k/a PFL Life Insurance Company; Nation Association for the Self Employed a/k/a NASE and/or any of their successors or subsidiaries including any Amendatory Endorsements pertaining to the plaintiff.
27. Testimony and documents evidencing or relating to any and all authorizations for direct payment for Plaintiff's insurance coverage.
28. Testimony and documents evidencing or relating to any and all policies and procedures manuals, and any all amendatory endorsements and/or endorsements thereto, relating to how premiums and deductibles for group health insurance coverage are derived.
29. Testimony and documents evidencing or relating to any and all documents indicating or evidencing the loss ratios on the types of group health insurance policies/plan/certificates provided to the plaintiff in the State of Alabama in the last 10 years.

30. Testimony and documents evidencing or relating to any and all documents, correspondence, email, notes, or memoranda, indicating or evidencing any claims or complaints made by any persons to any of the defendants with regard to increases in their group health insurance premiums for the last 10 years.
31. Testimony and documents evidencing or relating to the nature of the insurance made the basis of this suit.
32. Testimony and documents evidencing or relating to any and all correspondence from the Defendants to Plaintiff or anyone on Plaintiff's behalf.
33. Testimony and documents evidencing or relating to any and all explanation of benefits for any and all of the policies issued to the plaintiff and copies of said Explanation of Benefits.
34. Testimony and documents evidencing or relating to any and all form letters relating to rate increases sent out to any policy holders with the type of insurance issued to Plaintiff over the last 10 years.
35. Testimony and documents evidencing or relating to any and all written notices provided to Plaintiff prior to the effective date of any new rate changes in his group health coverage.
36. Testimony and documents regarding or relating to any and all underwriting manual(s) applicable to Plaintiff and/or Plaintiff's certificate of insurance.
37. Testimony and documents regarding or relating to any and all claims manual(s) applicable to Plaintiff and/or Plaintiff's certificate of insurance.
38. Testimony and documents regarding or relating to premium rating and/or premium renewal rating and how each are calculated for that type of insurance issued to Plaintiff and/or Plaintiff's certificate of insurance.
39. Testimony and documents regarding or relating to this Defendant's underwriting methodology for that type of insurance issued to Plaintiff.
40. Testimony and documents regarding or relating to premium rating methodology for that type of insurance issued to Plaintiff.
41. Testimony and documents regarding or relating to any documents received from or sent to Plaintiff and/or Plaintiff's employer regarding ERISA issues, requests, authorizations, inquiries and/or any ERISA matter and/or document whatsoever.

Further, note that pursuant to Rule 30 (b)(6), said corporation, “shall designate on or more officers, directors, managing agents, or other persons who consent to testify on its behalf,” and that “[t]he persons so designated shall testify as to matters known or reasonably available to (said corporation).”

Pursuant to Rule 30 (b)(5), plaintiffs request that, at the time and place of the taking of said deposition, said corporation produce for inspection and copying a copy of each note, memorandum, item of correspondence, picture, drawing or other document evidencing or relating to all matters described above as the relate to the occurrence made the basis of plaintiffs’ complaint.

Said deposition shall be take place on **June 29, 2007 at 9:00 a.m. at Kool & Nygren, 422, 22<sup>nd</sup> Ave SW, Cedar Rapids, IA 52401** and shall continue day to day until completed.

/s/ Steve Couch  
STEVEN W. COUCH  
Attorney for Plaintiff

**OF COUNSEL:**  
HOLLIS & WRIGHT, P.C.  
505 North 20<sup>th</sup> Street, Suite 1500  
Birmingham, Alabama 35203  
(205) 324-3600  
(205) 324-3636 Facsimile

**CERTIFICATE OF SERVICE**

I hereby certify that I have mailed a true and correct copy of the above and foregoing by electronic filing and/or US Mail, postage paid, this the 22<sup>nd</sup> day of June 2007 to:

James W. Lampkin, II  
Pamela A. Moore  
Alford, Clausen & McDonald  
One St. Louis Centre, Suite 5000  
Mobile, Alabama 36602

/s/ Steve Couch  
OF COUNSEL

CC: Bain & Associates  
Court Reporting Firm